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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------------|----------------|-------------------------|---------------------|------------------|
| 09/536,727 | 03/28/2000 | Michael T. Rossides | | 5508 |
| 7. | 590 10/28/2002 | | | |
| Michael T Rossides | | | EXAMINER | |
| 11167 E Mirase Scottsdale, AZ | | | RETTA, YEHDEGA | |
| | | | ART UNIT | PAPER NUMBER |
| | | | 3622 | |
| | | DATE MAILED: 10/28/2002 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| • | Application No. | Applicant(s) | | | |
|---|---|--|--|--|--|
| Office Action Summary | 09/536,727 | ROSSIDES, MICHAEL T. | | | |
| Omoo nousin cumulay | Examiner | Art Unit | | | |
| The MAILING DATE of this communication app | Yehdega Retta | 2162 | | | |
| Period for Reply | ears on the cover sheet with the t | orrespondence address | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). Status | 6(a). In no event, however, may a reply be tir within the statutory minimum of thirty (30) day ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | nely filed /s will be considered timely. the mailing date of this communication. ED (35 U.S.C. § 133). | | | |
| 1) Responsive to communication(s) filed on 25 Ju | <u>uly 2002</u> . | | | | |
| 2a) This action is FINAL . 2b) ⊠ This | s action is non-final. | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims | | | | | |
| 4)⊠ Claim(s) 2 and 3 is/are pending in the applicati | on. | | | | |
| 4a) Of the above claim(s) is/are withdraw | n from consideration. | | | | |
| 5) Claim(s) is/are allowed. | | | | | |
| 6)⊠ Claim(s) <u>2 and 3</u> is/are rejected. | | | | | |
| 7) Claim(s) is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction and/or | election requirement. | | | | |
| Application Papers | | | | | |
| 9) The specification is objected to by the Examiner. | | | | | |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner. | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner. | | | | | |
| If approved, corrected drawings are required in reply to this Office action. 12) ☐ The oath or declaration is objected to by the Examiner. | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | arrinier. | | | | |
| 13) Acknowledgment is made of a claim for foreign | priority under 35 H.S.C. & 110/a | (d) or (f) | | | |
| a) All b) Some * c) None of: | priority under 55 0.5.0. § 119(8 | i)-(u) or (i). | | | |
| 1.☐ Certified copies of the priority documents | have been received | | | | |
| | | on No | | | |
| 2. Certified copies of the priority documents have been received in Application No.3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). | | | | | |
| a) ☐ The translation of the foreign language prov 15)☐ Acknowledgment is made of a claim for domestic | | | | | |
| Attachment(s) | | | | | |
| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449) Paper No(s) | 5) Notice of Informal (| y (PTO-413) Paper No(s) Patent Application (PTO-152) | | | |

Application/Control Number: 09/536,727

Art Unit: 3622

DETAILED ACTION

Claim Rejections - 35 USC § 112

- 1. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 2. Claim 3 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. A single claim which claims both an apparatus and the method steps of using the apparatus is indefinite under 35 U.S.C. 112, second paragraph (see MPEP 2173.05(p) (II) II. PRODUCT AND PROCESS IN THE SAME CLAIM.
- 3. Claim 3 recites a system in the preamble, however the rest of the claim cites method steps. There is no structural limitation claimed, therefore, the claim is rejected as being indefinite.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

Application/Control Number: 09/536,727

Art Unit: 3622

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The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

- 2. Claims 2 and 3 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Wendkos et al. U.S. Patent No. 6,453,299.
- 1. Regarding claims 2 and 3, Wendkos teaches identifying an advertiser and an associated offer having one condition; determining acceptance of the offer by recipients, associating an expected value payment, and corresponding Payoff, with the recipients; selecting at least one of the recipients at random, with the probability being set to EV/Payoff (see col. 3 lines 28-54, col. 12 lines 11-27); determining whether the selected recipients satisfies one condition and based on positive determination, providing the Payoff to the selected one of the recipients (see col. 11 line 3 to col. 12 line 10 and col. 13 lines 51-60).

Conclusion

2. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Goldhaber et al. U.S. Patent No. 5,855,008

Kelly et al. 6,306,035, Graphical user interface for providing gaming and prize redemption capabilities.

Click Into a Chance to Win a 1999 Hyundai Tiburon by visiting the Tiburon Sweepstakes
On the DealerNet Web Site; Business Wire; New York; Dec 1, 1998; Business Editors.

Application/Control Number: 09/536,727

Art Unit: 3622

Page 4

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yehdega Retta whose telephone number is (703) 305-0436. The examiner can normally be reached on 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eric Stamber can be reached on (703) 305-8469. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 746-7239 for regular communications and (703) 746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

YR October 18, 2002

MELANIE A. KEMPER PRIMARY EXAMINER